Page: 4 of 4

2021-06-08 13:25:19 EDT

12028277954

From: TELG Fax

FORM NLRB-501 (3.23)

UNITED STATES OF AMERICA NATIONAL LABOR RELATIONS BOARD **CHARGE AGAINST EMPLOYER**

DO NOT WRITE IN THIS	SPACE
Case	Date Filed
27-CA-278222	6/8/2021

INSTRUCTIONS:

File an original with NLRB Regional Director for the region in	which the elieged unfair labor practice occurred or is occurred. OYER AGAINST WHOM CHARGE IS BROUGHT	ng.
WOMEN AND THE PROPERTY OF THE PARTY OF THE P	OTER AGAINST WHOM CHARGE IS BROUGHT	
a. Name of Employer Novartis Pharmaceutical Corporation		b. Tel. No. (862) 778-8300
		c. Cell No.
Id Address (Street city sizes and 7/D code)	e. Employer Representative	f. Fax. No.
d. Address (Street, city, state, and ZIP code) 59 Route 10 East Hanover, NJ 07936	e Employer Representative	g, e-mail
		h. Number of workers employed
i. Type of Establishment (factory, mine, wholesaler, etc.) Pharmaceutical Corporation	j. Identify principal product or service Pharmaceuticals	add a gallanuaith ag igear an chronosacha an 2,000 a chronosacha an 1,000 a chronosacha an 1,000 a chronosacha
The above-named employer has engaged in and is engage	ging in unfair labor practices within the meaning of section	8(a), subsections (1) and
(list subsections)	of the National Labor R	etations Act, and these unfair labor
practices are practices affecting commerce within the me-	aning of the Act, or these unfair labor practices are practic	es affecting commerce within the
meaning of the Act and the Postal Reorganization Act.		
2. Basis of the Charge (set forth a clear and concise state	ment of the facts constituting the alleged unfair labor prac-	zices)
Within the past six months, the above-named empl under Section 7 of the Act regarding safety issues	oyer terminated (b) (6), (b) (7)(C) because (b)(6) engaged	
inder Section 7 of the Act regulating safety issues to	gi. WJIA.	
3. Full name of party filling charge (# labor organization, gr (b) (6), (b) (7)(C)		
(b) (6), (b) (7)(C) (b) (6), (b) (7)(C) (c) (d) (d) (d) (d) (d) (d) (d) (d) (d) (d		(b) (6), (b) (7)(C)
		4c, Cell No.
		4d. Fax No.
		4e. e-mail (b) (6), (b) (7)(C)
5, Full name of national or international labor organization	of which it is an affiliate or constituent unit (to be filled in w	then charge is filed by a labor organization)

i declare that I have read the above	ARATION ve charge and that the statements by knowledge and belief. THE EndiagnEs	(b) (6), (b) (7)(C)
John C. Harrington (REPRESENTATION	ny knowledge and belief. THE ENTHAMENT THE ENTHAMENT IN LAW GROWP	Office, if any, Cell No.
(signature of representative or person making charge)	(Print/type name and title or office, if any)	Fax No.
(b) (6), (b) (7)(C) (b) (6), (b) (6), (b) (6)	(7)(C) Dake 6/8/2/	(b) (6), (b) (7)(C)

WILLFUL FALSE STATEMENTS ON THIS CHARGE CAN BE PUNISHED BY FINE AND IMPRISONMENT (U.S. CODE, TITLE 18, SECTION 1991) PRIVACY ACT STATEMENT

Solicitation of the information on this form is authorized by the National Labor Relations Act (NLRA), 29 U.S.C. § 151 of seq. The principal use of the information is to assist the National Labor Relations Board (NLRB) in processing unfair labor practice and related proceedings or litigation. The routine uses for the information are fully set forth in the Federal Register, 71 Fed. Reg. 74942-43 (Dec. 13, 2008). The NLRB will further explain those uses upon request. Disclosure of this information to the NLRB is voluntary; however, failure to supply the information may cause the NLRB to decline to invoke its processes.



UNITED STATES GOVERNMENT NATIONAL LABOR RELATIONS BOARD

REGION 27 Byron Rogers Federal Office Building 1961 Stout Street, Suite 13-103 Denver, CO 80294



Download NLRB Mobile App

June 8, 2021

Novartis Pharmaceutical Corporation 59 State Route 10 East Hanover, NJ 07936

Re: Novartis Pharmaceutical Corporation

Agency Website: www.nlrb.gov

Telephone: (303)844-3551

Fax: (303)844-6249

Case 27-CA-278222

Dear Sir or Madam:

Enclosed is a copy of a charge that has been filed in this case. This letter tells you how to contact the Board agent who will be investigating the charge, explains your right to be represented, discusses presenting your evidence, and provides a brief explanation of our procedures, including how to submit documents to the NLRB.

<u>Investigator</u>: This charge is being investigated by Field Attorney TODD SAVELAND whose telephone number is (720)709-7198. If this Board agent is not available, you may contact Supervisory Field Examiner MATTHEW S. LOMAX whose telephone number is (720)598-7405.

Right to Representation: You have the right to be represented by an attorney or other representative in any proceeding before us. If you choose to be represented, your representative must notify us in writing of this fact as soon as possible by completing *Form NLRB-4701*, *Notice of Appearance*. This form is available on our website, www.nlrb.gov, or from an NLRB office upon your request.

If you are contacted by someone about representing you in this case, please be assured that no organization or person seeking your business has any "inside knowledge" or favored relationship with the National Labor Relations Board. Their knowledge regarding this proceeding was only obtained through access to information that must be made available to any member of the public under the Freedom of Information Act.

<u>Presentation of Your Evidence</u>: We seek prompt resolutions of labor disputes. Therefore, I urge you or your representative to submit a complete written account of the facts and a statement of your position with respect to the allegations set forth in the charge as soon as possible. If the Board agent later asks for more evidence, I strongly urge you or your representative to cooperate fully by promptly presenting all evidence relevant to the investigation. In this way, the case can be fully investigated more quickly.

Full and complete cooperation includes providing witnesses to give sworn affidavits to a Board agent and providing all relevant documentary evidence requested by the Board agent. Sending us your written account of the facts and a statement of your position is not enough to be considered full and complete cooperation. A refusal to fully cooperate during the investigation might cause a case to be litigated unnecessarily.

In addition, either you or your representative must complete the enclosed Commerce Questionnaire to enable us to determine whether the NLRB has jurisdiction over this dispute. If you recently submitted this information in another case, or if you need assistance completing the form, please contact the Board agent.

We will not honor requests to limit our use of position statements or evidence. Specifically, any material you submit may be introduced as evidence at a hearing before an administrative law judge regardless of claims of confidentiality. However, certain evidence produced at a hearing may be protected from public disclosure by demonstrated claims of confidentiality.

Further, the Freedom of Information Act may require that we disclose position statements or evidence in closed cases upon request, unless an exemption applies, such as those protecting confidential financial information or personal privacy interests.

<u>Preservation of all Potential Evidence:</u> Please be mindful of your obligation to preserve all relevant documents and electronically stored information (ESI) in this case, and to take all steps necessary to avoid the inadvertent loss of information in your possession, custody or control. Relevant information includes, but is not limited to, paper documents and all ESI (e.g. SMS text messages, electronic documents, emails, and any data created by proprietary software tools) related to the above-captioned case.

<u>Prohibition on Recording Affidavit Interviews:</u> It is the policy of the General Counsel to prohibit affiants from recording the interview conducted by Board agents when subscribing Agency affidavits. Such recordings may impede the Agency's ability to safeguard the confidentiality of the affidavit itself, protect the privacy of the affiant and potentially compromise the integrity of the Region's investigation.

<u>Correspondence:</u> All documents submitted to the Region regarding your case MUST be filed through the Agency's website, <u>www.nlrb.gov</u>. This includes all formal pleadings, briefs, as well as affidavits, documentary evidence, and position statements. The Agency requests all evidence submitted electronically to be in the form it is normally used and maintained in the course of business (i.e., native format). Where evidence submitted electronically is not in native format, it should be submitted in a manner that retains the essential functionality of the native format (i.e., in a machine-readable and searchable electronic format).

If you have questions about the submission of evidence or expect to deliver a large quantity of electronic records, please promptly contact the Board agent investigating the charge. If you cannot e-file your documents, you must provide a statement explaining why you do not

have access to the means for filing electronically or why filing electronically would impose an undue burden.

In addition, this Region will be issuing case-related correspondence and documents, including complaints, compliance specifications, dismissal letters, deferral letters, and withdrawal letters, electronically to the email address you provide. Please ensure that you receive important case-related correspondence, please ensure that the Board Agent assigned to your case has your preferred email address. These steps will ensure that you receive correspondence faster and at a significantly lower cost to the taxpayer. If there is some reason you are unable to receive correspondence via email, please contact the agent assigned to your case to discuss the circumstances that prevent you from using email.

Information about the Agency, the procedures we follow in unfair labor practice cases and our customer service standards is available on our website, www.nlrb.gov or from an NLRB office upon your request. NLRB Form 4541, Investigative Procedures offers information that is helpful to parties involved in an investigation of an unfair labor practice charge.

We can provide assistance for persons with limited English proficiency or disability. Please let us know if you or any of your witnesses would like such assistance.

Very truly yours,

PAULA S. SAWYER Regional Director

Paule S. Sanger

Enclosures:

- 1. Copy of Charge
- 2. Commerce Questionnaire

FORM NLRB-5081	NATIONAL I	ABOR RELATIONS BOAR	D		
(3-11) QUESTI	ONNAIRE O	N COMMERCE INFO	RMATION		
Please read carefully, answer all applicable items, and ret				nd identify item number	
CASE NAME	uni to the NEKB C	onice. Il additional space is req		SE NUMBER	
Novartis Pharmaceutical Corporation			27-0	CA-278222	
1. EXACT LEGAL TITLE OF ENTITY (As filed w	ith State and/or	stated in legal documents for	ming entity)		
2. TYPE OF ENTITY					
[] CORPORATION [] LLC [] LLP []	PARTNERSHI	P [] SOLE PROPRIETOR	RSHIP [] OTHER (Specify)	
3. IF A CORPORATION or LLC					
A. STATE OF INCORPORATION	B. NAME, AD	DDRESS, AND RELATIONSH	IIP (e.g. parent, subsidiary) OF ALL RELATED E	NTITIES
OR FORMATION					
4. IF AN LLC OR ANY TYPE OF PARTNERSHIP	P. FULL NAME	AND ADDRESS OF ALL M	EMBERS OR PARTNE	RS	
	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,				
5. IF A SOLE PROPRIETORSHIP, FULL NAME	AND ADDRESS	S OF PROPRIETOR			
6. BRIEFLY DESCRIBE THE NATURE OF YOU	R OPERATION	IS (Products handled or manuf	actured, or nature of servi	ces performed).	
7A. PRINCIPAL LOCATION:		7B. BRANCH LOCATION	S:		
8. NUMBER OF PEOPLE PRESENTLY EMPLOY	YED				
A. TOTAL:	B. AT THE Al	DDRESS INVOLVED IN THE	S MATTER:		
9. DURING THE MOST RECENT (Check the appr	ropriate box): [CALENDAR [] 12 MON	THS or [] FISCAL Y	EAR (FY DATES)
. Did	00.1			YES	NO
A. Did you provide services valued in excess of \$50,0 \$	00 directly to cus	stomers outside your State? If i	no, indicate actual value.		
B. If you answered no to 9A, did you provide services	valued in excess	s of \$50,000 to customers in yo	ur State who purchased		
goods valued in excess of \$50,000 from directly out			_		
provided. \$		C#50.000 . 11'	and the second		
C. If you answered no to 9A and 9B, did you provide newspapers, health care institutions, broadcasting st		_			
If less than \$50,000, indicate amount. \$	mions, commerci		sions, or result concerns.		
D. Did you sell goods valued in excess of \$50,000 dire	ctly to customers	located outside your State? If	less than \$50,000, indicate	;	
amount. \$	1. 0050	0.000 1: 41 4 4 1	. 1: :1		
E. If you answered no to 9D, did you sell goods valued purchased other goods valued in excess of \$50,000		_	_		
\$					
F. Did you purchase and receive goods valued in excess of \$50,000 from directly outside your State? If less than \$50,000,					
indicate amount. \$					
points outside your State? If less than \$50,000, indicate amount. \$					
H. Gross Revenues from all sales or performance of services (Check the largest amount)					
[] \$100,000 [] \$250,000 [] \$500,000 [] \$1,000,000 or	more If less than \$100,000, i	indicate amount.		
I. Did you begin operations within the last 12 mor	ths? If yes, spe	ecify date:			
10. ARE YOU A MEMBER OF AN ASSOCIATION	OR OTHER E	MPLOYER GROUP THAT	ENGAGES IN COLLEC	TIVE BARGAINING	?
[] YES [] NO (If yes, name and address of association or group).					
11. REPRESENTATIVE BEST QUALIFIED TO G					
NAME	TITLE	E-MAIL ADI	DRESS	TEL. NUMBER	
12. AUTHORIZED REPRESENTATIVE COMPLETING THIS QUESTIONNAIRE					
NAME AND TITLE (Type or Print)	SIGNATURE		E-MAIL ADDRESS	DATE	
	I		I	1	

PRIVACY ACT STATEMENT

Solicitation of the information on this form is authorized by the National Labor Relations Act (NLRA), 29 U.S.C. § 151 et seq. The principal use of the information is to assist the National Labor Relations Board (NLRB) in processing representation and/or unfair labor practice proceedings and related proceedings or litigation. The routine uses for the information are fully set forth in the Federal Register, 71 Fed. Reg. 74942-43 (Dec. 13, 2006). The NLRB will further explain these uses upon request. Disclosure of this information to the NLRB is voluntary. However, failure to supply the information may cause the NLRB to refuse to process any further a representation or unfair labor practice case, or may cause the NLRB to issue you a subpoena and seek enforcement of the subpoena in federal court.

UNITED STATES OF AMERICA

BEFORE THE NATIONAL LABOR RELATIONS BOARD

NOVARTIS PHARMACEUTICAL
CORPORATION

Charged Party

and

Case 27-CA-278222

(b) (6), (b) (7)(C)

Charging Party

AFFIDAVIT OF SERVICE OF CHARGE AGAINST EMPLOYER

I, the undersigned employee of the National Labor Relations Board, state under oath that on June 8, 2021, I served the above-entitled document(s) by post-paid regular mail upon the following persons, addressed to them at the following addresses:

Novartis Pharmaceutical Corporation 59 State Route 10 East Hanover, NJ 07936

Novartis Pharmaceutical Corporation 59 Route 10 East Hanover, NJ 07936

June 8, 2021	Ariel York Designated Agent of NLRB
Date	Name
	/s/Ariel York
	Signature



UNITED STATES GOVERNMENT NATIONAL LABOR RELATIONS BOARD

REGION 27 Byron Rogers Federal Office Building 1961 Stout Street, Suite 13-103 Denver, CO 80294



Download NLRB Mobile App

Agency Website: www.nlrb.gov Telephone: (303)844-3551 Fax: (303)844-6249

June 8, 2021



Re: Novartis Pharmaceutical Corporation

Case 27-CA-278222

Dear (b) (6), (b) (7)(C)

The charge that you filed in this case on June 08, 2021 has been docketed as case number 27-CA-278222. This letter tells you how to contact the Board agent who will be investigating the charge, explains your right to be represented, discusses presenting your evidence, and provides a brief explanation of our procedures, including how to submit documents to the NLRB.

<u>Investigator</u>: This charge is being investigated by Field Attorney TODD SAVELAND whose telephone number is (720)709-7198. If this Board agent is not available, you may contact Supervisory Field Examiner MATTHEW S. LOMAX whose telephone number is (720)598-7405.

<u>Right to Representation</u>: You have the right to be represented by an attorney or other representative in any proceeding before us. If you choose to be represented, your representative must notify us in writing of this fact as soon as possible by completing *Form NLRB-4701*, *Notice of Appearance*. This form is available on our website, <u>www.nlrb.gov</u>, or from an NLRB office upon your request.

If you are contacted by someone about representing you in this case, please be assured that no organization or person seeking your business has any "inside knowledge" or favored relationship with the National Labor Relations Board. Their knowledge regarding this proceeding was only obtained through access to information that must be made available to any member of the public under the Freedom of Information Act.

<u>Presentation of Your Evidence</u>: As the party who filed the charge in this case, it is your responsibility to meet with the Board agent to provide a sworn affidavit, or provide other witnesses to provide sworn affidavits, and to provide relevant documents within your possession. Because we seek to resolve labor disputes promptly, you should be ready to promptly present your affidavit(s) and other evidence. If you have not yet scheduled a date and time for the Board agent to take your affidavit, please contact the Board agent to schedule the affidavit(s). If you fail to cooperate in promptly presenting your evidence, your charge may be dismissed without investigation.

<u>Preservation of all Potential Evidence:</u> Please be mindful of your obligation to preserve all relevant documents and electronically stored information (ESI) in this case, and to take all steps necessary to avoid the inadvertent loss of information in your possession, custody or control. Relevant information includes, but is not limited to, paper documents and all ESI (e.g. SMS text messages, electronic documents, emails, and any data created by proprietary software tools) related to the above-captioned case.

<u>Prohibition on Recording Affidavit Interviews:</u> It is the policy of the General Counsel to prohibit affiants from recording the interview conducted by Board agents when subscribing Agency affidavits. Such recordings may impede the Agency's ability to safeguard the confidentiality of the affidavit itself, protect the privacy of the affiant and potentially compromise the integrity of the Region's investigation.

<u>Correspondence</u>: All documents submitted to the Region regarding your case MUST be filed through the Agency's website, <u>www.nlrb.gov</u>. This includes all formal pleadings, briefs, as well as affidavits, documentary evidence, and position statements. The Agency requests all evidence submitted electronically to be in the form it is normally used and maintained in the course of business (i.e., native format). Where evidence submitted electronically is not in native format, it should be submitted in a manner that retains the essential functionality of the native format (i.e., in a machine-readable and searchable electronic format).

If you have questions about the submission of evidence or expect to deliver a large quantity of electronic records, please promptly contact the Board agent investigating the charge. If you cannot e-file your documents, you must provide a statement explaining why you do not have access to the means for filing electronically or why filing electronically would impose an undue burden.

In addition, this Region will be issuing case-related correspondence and documents, including complaints, compliance specifications, dismissal letters, deferral letters, and withdrawal letters, electronically to the email address you provide. Please ensure that you receive important case-related correspondence, please ensure that the Board Agent assigned to your case has your preferred email address. These steps will ensure that you receive correspondence faster and at a significantly lower cost to the taxpayer. If there is some reason you are unable to receive correspondence via email, please contact the agent assigned to your case to discuss the circumstances that prevent you from using email.

Information about the Agency, the procedures we follow in unfair labor practice cases and our customer service standards is available on our website, www.nlrb.gov or from an NLRB office upon your request. NLRB Form 4541, Investigative Procedures offers information that is helpful to parties involved in an investigation of an unfair labor practice charge.

We can provide assistance for persons with limited English proficiency or disability. Please let us know if you or any of your witnesses would like such assistance.

Very truly yours,

PAULA S. SAWYER Regional Director

Paule S. Sanger

cc: John T. Harrington, Principal Attorney The Employment Law Group 1717 K St. NW Ste. 1110 Washington, DC 20006

NATIONAL LABOR RELATIONS BOARD

NOTICE OF APPEARANCE

	1
(b) (6), (b) (7)(C)	
and	CASE 27-CA-278222
	27 5/(2/5222
Novartis Pharmaceutical Corporation	
- 8	
REGIONAL DIRECTOR EXECUTIVE SECRETARY NATIONAL LABOR RELATIONS BOARD Washington, DC 20570	GENERAL COUNSEL NATIONAL LABOR RELATIONS BOARD Washington, DC 20570
THE UNDERGIGNED HEREBY ENTERS ADDEADANCE AS DEDDESENTAT	IVE OF
THE UNDERSIGNED HEREBY ENTERS APPEARANCE AS REPRESENTAT Novartis Pharmaceutical Corporation	TVE OF
Novario i narmaceatical corporation	
IN THE ABOVE-CAPTIONED MATTER.	
CHECK THE APPROPRIATE BOX(ES) BELOW:	
REPRESENTATIVE IS AN ATTORNEY	
IF REPRESENTATIVE IS AN ATTORNEY, IN ORDER TO ENSURE TO CERTAIN DOCUMENTS OR CORRESPONDENCE FROM THE AGENCY IN BOX MUST BE CHECKED. IF THIS BOX IS NOT CHECKED, THE PARTY V DOCUMENTS SUCH AS CHARGES, PETITIONS AND FORMAL DOCUMEN CASEHANDLING MANUAL.	ADDITION TO THOSE DESCRIBED BELOW, THIS WILL RECEIVE ONLY COPIES OF CERTAIN
(REPRESENTATIVE INFOR	MATION)
NAME: Steven M. Gutierrez	
MAILING ADDRESS: Holland & Hart, LLP, P.O. Box 8749, De	enver CO 80201-8749
MAILING ADDRESS: THOMANG & THAT, EEF, 11.0. Box 0.740, Box	-
E-MAIL ADDRESS:sgutierrez@hollandhart.com	
OFFICE TELEPHONE NUMBER: (303) 295-8531	
CELL PHONE NUMBER: (303) 668-6306	FAX: (800) 840-4986
SIGNATURE: Aten Whitim	
DATE: (Please sign in ink.) June 16, 2021	

 $^{^{\}rm 1}$ IF CASE IS PENDING IN WASHINGTON AND NOTICE OF APPEARANCE IS SENT TO THE GENERAL COUNSEL OR THE EXECUTIVE SECRETARY, A COPY SHOULD BE SENT TO THE REGIONAL DIRECTOR OF THE REGION IN WHICH THE CASE WAS FILED SO THAT THOSE RECORDS WILL REFLECT THE APPEARANCE.

Byron Rogers Federal Office Building 1961 Stout Street, Suite 13-103 Denver, CO 80294

Telephone: (303)844-3551 Fax: (303)844-6249

Agency Website: www.nlrb.gov

July 25, 2022

John T. Harrington, Principal Attorney
The Employment Law Group
1717 K St. NW Ste. 1110
Washington, DC 20006
tharrington@employeementlawgroup.com

Re: Novartis Pharmaceutical Corporation

Case 27-CA-278222

Dear Mr. Harrington:

We have carefully investigated and considered your charge that Novartis Pharmaceutical Corporation has violated the National Labor Relations Act.

Decision to Dismiss: Based on that investigation, I have decided to dismiss your charge because there is insufficient evidence to establish a violation of the Act.

Charging Party's Right to Appeal: The Charging Party may appeal my decision to the General Counsel of the National Labor Relations Board, through the Office of Appeals.

Means of Filing: You must file your appeal electronically or provide a written statement explaining why electronic submission is not possible or feasible. Written instructions for the NLRB's E-Filing system and the Terms and Conditions of the NLRB's E-Filing policy are available at www.nlrb.gov. See User Guide. A video demonstration which provides step-by-step instructions and frequently asked questions are also available at www.nlrb.gov. If you require additional assistance with E-Filing, please contact e-Filing@nlrb.gov.

You are encouraged to also submit a complete statement of the facts and reasons why you believe my decision was incorrect. If you cannot file electronically, please send the appeal and your written explanation of why you cannot file electronically to the **General Counsel** at the **National Labor Relations Board, Attn: Office of Appeals, 1015 Half Street SE, Washington, DC 20570-0001**. Unless filed electronically, a copy of the appeal should also be sent to me.

The appeal MAY NOT be filed by fax or email. The Office of Appeals will not process faxed or emailed appeals.

Appeal Due Date: The appeal is due on August 8, 2022. If the appeal is filed electronically, the transmission of the entire document through the Agency's website must be completed no later than 11:59 p.m. Eastern Time on the due date. If filing by mail or by delivery service an appeal will be found to be timely filed if it is postmarked or given to a delivery service no later than August 7, 2022. If an appeal is postmarked or given to a delivery service on the due date, it will be rejected as untimely. If hand delivered, an appeal must be received by the General Counsel in Washington D.C. by 5:00 p.m. Eastern Time on the appeal due date. If an appeal is not submitted in accordance with this paragraph, it will be rejected.

Extension of Time to File Appeal: The General Counsel may allow additional time to file the appeal if the Charging Party provides a good reason for doing so and the request for an extension of time is **received on or before August 8, 2022.** The request may be filed electronically through the *E-File Documents* link on our website www.nlrb.gov, by fax to (202)273-4283, by mail, or by delivery service. The General Counsel will not consider any request for an extension of time to file an appeal received after August 8, 2022, **even if it is postmarked or given to the delivery service before the due date**. Unless filed electronically, a copy of the extension of time should also be sent to me.

Confidentiality: We will not honor requests to limit our use of appeal statements or evidence. Upon a request under the Freedom of Information Act (FOIA) by a party during the processing of an appeal, the Agency's FOIA Branch discloses appeal statements, redacted for personal privacy, confidential source protection, or other applicable FOIA exemptions. In the event the appeal is sustained, any statement or material submitted may be introduced as evidence at a hearing before an administrative law judge. However, certain evidence produced at a hearing may be protected from public disclosure by demonstrated claims of confidentiality.

Very truly yours,

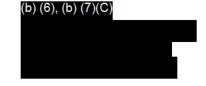
1s1 Paula S. Sawyer

PAULA S. SAWYER Regional Director

Enclosure

cc: Steven M. Gutierrez, Attorney at Law Holland & Hart, LLP PO Box 8749 Denver, CO 80201-8749 sgutierrez@hollandhart.com

> Novartis Pharmaceutical Corporation 59 State Route 10 East Hanover, NJ 07936



UNITED STATES OF AMERICA NATIONAL LABOR RELATIONS BOARD

APPEAL FORM

Date:

To: General Counsel

Attn: Office of Appeals National Labor Relations Board 1015 Half Street SE Washington, DC 20570-0001	
Please be advised that an appeal is hereby National Labor Relations Board from the action o issue a complaint on the charge in	
Case Name(s).	
Case No(s). (If more than one case number, include taken.)	e all case numbers in which appeal is
_	(Signature)

E-FILING TO APPEALS

- 1. **Extension of Time**: This document is used when the Charging Party is asking for more time to efile an Appeal.
 - If an Extension of Time is e-filed, and there are additional documents to be e-filed simultaneously with it, please e-file those documents under the selection **Correspondence**.
 - After an Extension of Time has already been e-filed, any **additional** materials to add to the Extension of Time should be e-filed under **Correspondence**.
- 2. **File an Appeal**: If the Charging Party does not agree with the Region's decision on the case, an Appeal can be e-filed.
 - Only one (1) Appeal can be e-filed to each determination in the Region's decision letter that is received.
 - After an Appeal has been e-filed, any **additional** materials to add to the Appeal should be e-filed under **Correspondence**.
- 3. **Notice of Appearance**: Either party can e-file a Notice of Appearance if there is a new counsel representing one side or a different counsel.
 - This document is only e-filed with the Office of Appeals after a decision has been made by the Region.
 - This document can be e-filed before an Appeal is e-filed.
- 4. **Correspondence**: Parties will **select** Correspondence when adding documents or supplementing the Appeal or Extension of Time.
 - Correspondence is used to e-file documents after an Extension of Time, Appeal or Notice of Appearance has been e-filed.
- 5. **Position Statement**: The Charging Party or Charged Party may e-file a Position Statement.
 - The Charging Party will e-file this document as a supplement of the Appeal.
 - The Charged Party will specifically file one to support the Region's decision.
 - This document should be e-filed **after** an **Extension of Time, Appeal** or **Notice of Appearance** has been e-filed.
- 6. **Withdrawal Request**: If the Charging Party decides to no longer pursue their appeal, he/she can e-file a Withdrawal Request to the Office of Appeals.
 - This document should be e-Filed after an Extension of Time, Appeal or Notice of Appearance has been e-filed.



7. The selections of **Evidence** or **Other** should no longer be used.